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1 **Extreme Poisson's ratios recorded in the secondary phloem of Malvaceae: a**  
2 **highlight on the biomechanical function of bark**

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26 **Running title:** Extreme Poisson's ratio in secondary phloem

27

28 **Highlight** (30 words)

29 The secondary phloem of several angiosperm species has extraordinarily high Poisson's ratio, designed  
30 to convert the tangential strain due to cambial growth into a longitudinal stress with a motor function.

31

32 **Abstract** (193 words)

33 In some angiosperm species, especially in the Malvaceae family, postural control and  
34 directional growth of the stem are enabled by the mechanical interaction between the growing  
35 cambium and the secondary phloem. A key-feature of this motor mechanism is the ability to  
36 redirect the tangential stress induced in secondary phloem into a longitudinal stress enabling  
37 the control of stem orientation. Here we studied how the microstructure of the secondary  
38 phloem is optimized for this function. We measured the longitudinal-tangential Poisson's ratio  
39 and the longitudinal modulus of elasticity of secondary phloem in 22 tree species including  
40 Malvaceae and other families. We modeled the microstructure of Malvaceae secondary  
41 phloem using finite elements. The Poisson's ratio of secondary phloem from Malvaceae trees  
42 was found one to two orders of magnitude higher than for other species, reaching the highest  
43 values ever reported for a natural material. Mechanical modeling confirmed these results, and  
44 showed that parameters of the microstructure of secondary phloem are set at value optimizing  
45 this Poisson's ratio. This highlights that the specific microstructure of Malvaceae secondary  
46 phloem is designed to maximize the conversion of cambial growth pressure into a longitudinal  
47 mechanical stress enabling the directional growth.

48

49 **Key-words:** biomechanics, gravitropism, Malvaceae, Poisson's ratio, posture control, secondary  
50 phloem

51

52 **Word count:** 3710 (from introduction to acknowledgements, excluding material and methods, tables  
53 and figure legends)

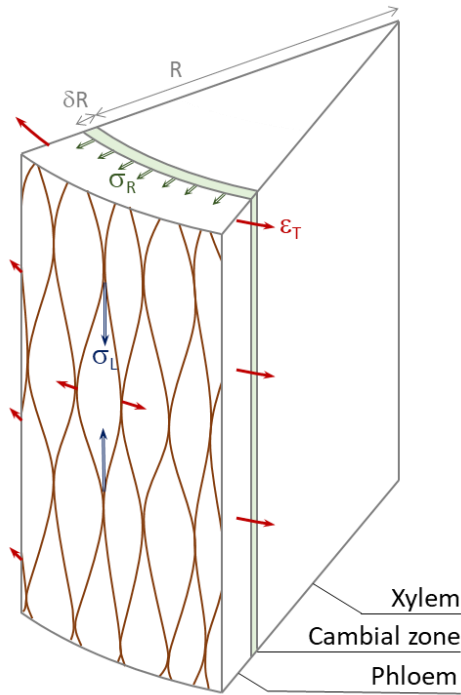
54 **Table count:** 1

55 **Figure count:** 7 (all in color)

## 56 Introduction

57 Bark plays multiple functions in the tree, including the transport of carbohydrates, storage of reserves,  
58 defense and mechanical support (Rosell, 2019). Its mechanical role includes a “skeletal” function, i.e.  
59 a contribution to stem stiffness and strength (Niklas, 1999; Rosell and Olson, 2014). This function is  
60 particularly important in the early stages when the ratio of bark thickness to stem diameter is larger  
61 than in further stages (Lehnebach et al., 2020b; Rosell, 2016). This skeletal function is necessary to  
62 minimize the amount of bending undergone by the stem when it grows in the field of gravity or when  
63 it is exposed to external loadings such as wind. However, a skeletal system alone is not sufficient to  
64 ensure the postural control of the tree, i.e. the control of the orientation of its axes. A “motor” system  
65 (Mouliat et al., 2006) is also required to restore the orientation after a major disturbance, or just to  
66 compensate for the effect of the increasing tree weight during growth (Almérás and Fournier 2009). In  
67 trees, this motor function has long been thought to be exclusively performed by wood during its  
68 maturation (Scurfield, 1973). The mechanism underlying this motor function is well described at the  
69 macroscopic level: physical and chemical processes occurring during the maturation of wood fibers  
70 induce mechanical stresses in the newly formed tissue, called “maturation stress”. Their magnitude  
71 depends on the wood microstructure and chemical composition. Various hypotheses have been  
72 proposed to explain the origin of these stresses at the macromolecular level as reviewed in Gorshkova  
73 et al. (2015) and Almérás and Clair (2016). When these maturation stresses are asymmetrically  
74 distributed around the stem (e.g. in the occurrence of reaction wood on one side of the stem), they  
75 induce a bending moment that curves the stem up.

76 Recently, the contribution of the secondary phloem to this motor function has been demonstrated and  
77 quantified (Clair et al., 2019). In young stems of certain tree species (especially in the Malvaceae  
78 family), the inner bark rather than wood is the main motor of gravitropism and posture control  
79 (Ghislain et al., 2019a). This behavior is associated to a pronounced eccentricity of the secondary  
80 growth (Ghislain et al., 2019a), and to a specific anatomy of secondary phloem, where phloem fibers  
81 form a “trellis” structure (Fig. 1) : fibers wave in the longitudinal-tangential plane and are laterally  
82 connected (Angyalossy et al., 2016; Böhlmann, 1971; Zajączkowska and Kozakiewicz, 2016). A  
83 mechanism by which tensile longitudinal stress is set in secondary phloem during growth has been  
84 proposed (Clair et al., 2019) (Fig. 1). The expansion of growing tissues located between wood and bark  
85 during cambial growth generates a mechanical stress in the radial direction  $\sigma_R$ . This stress pushes the  
86 secondary phloem outward, expanding it circumferentially and thus inducing a tangential stretching  
87 strain in it,  $\varepsilon_T$ . Because of the trellis organization of the secondary phloem’s fibers, this tangential  
88 tension is redirected into the longitudinal direction, creating a longitudinal tensile stress  $\sigma_L$ .



89

90 **Fig. 1:** Mechanical interaction between growing tissues and secondary phloem of Malvaceae.  
 91 Growing tissues in the cambial zone generate a radial stress  $\sigma_R$  at the interface with secondary  
 92 phloem. This radial stress cause tangential extension of secondary phloem  $\epsilon_T$ . This tangential  
 93 extension induces a longitudinal tension  $\sigma_L$  thanks to the trellis structure of the fibers in the  
 94 secondary phloem.

95 Therefore, the cambial growth sets the secondary phloem in a state of longitudinal tension, as has  
 96 been show experimentally (Lehnebach et al., 2020b). When eccentric growth occurs, a higher  
 97 longitudinal tension is induced on the side of the preferential growth compared to the opposite side.  
 98 This asymmetry in tension generates a bending moment that leads to a change in curvature of the  
 99 stem.

100 The redirection of the tangential stress into the longitudinal direction is the key feature of this  
 101 mechanism of postural control based on secondary phloem. From an engineering point of view, the  
 102 fact that a material contracts in one direction when it is stretched in the orthogonal direction is called  
 103 the Poisson's effect. It is quantified by the Poisson's ratio, denoted  $\nu_{XY}$ . This is the ratio between the  
 104 negative strain (i.e. contraction) induced in direction Y and the positive strain (i.e. stretching) in  
 105 direction X that caused it. In isotropic materials (i.e. materials having the same properties in all  
 106 directions), the Poisson's ratio is necessarily lower than 0.5. In anisotropic materials, it can be larger  
 107 than 0.5, and it is affected by the degree of anisotropy, being physically bounded as follows:

108  $\nu_{XY} < \sqrt{E_X/E_Y}$ , where  $E_X$  and  $E_Y$  are the Young's moduli of the material in directions X and Y,  
109 respectively.

110 The Poisson's ratio quantifies the intensity of kinematic coupling between two directions. It is worth  
111 noting that  $\nu_{XY}$  (the amount of contraction induced in direction Y when stretching the material in  
112 direction X) and  $\nu_{YX}$  (the amount of contraction induced in direction X when stretching the material in  
113 direction Y) are different, and related by  $\nu_{YX}/\nu_{XY} = E_Y/E_X$  for an orthotropic material. It can be  
114 shown that the ratio between the longitudinal stress  $\sigma_L$  induced in the secondary phloem and the  
115 radial stress  $\sigma_R$  that caused it is proportional to the longitudinal-tangential Poisson's ratio ( $\nu_{LT}$ ).

116 The aim of the present study is to quantify to which extent the secondary phloem of species which use  
117 it as a motor of gravitropic movements is mechanically adapted to this function. To do so we measured  
118 the elastic properties (longitudinal elastic modulus and longitudinal-tangential Poisson's ratio) of  
119 secondary phloem from young branches of a large diversity of tropical species including both  
120 Malvaceae and other botanical families. We found that this latter family exhibited extraordinarily high  
121 Poisson's ratio. Then, based on the trellis structure of Malvaceae secondary phloem, we built a  
122 parameterized finite element model designed to quantify the influence of the main microstructural  
123 features of the secondary phloem on its functional properties. This model aims at (i) validating the  
124 experimental results, by demonstrating that the actual microstructure of Malvaceae can result in such  
125 large Poisson's ratios, (ii) studying the optimality of the microstructure with respect to generating large  
126 Poisson's ratio. For objective (i), realistic values of parameters are needed, so we did measurements  
127 of secondary phloem microstructure and refer to them when commenting the simulation results. For  
128 objective (ii), we will use a larger range of parameters, representing all possible microstructure  
129 configurations, even configurations that are not found in nature. Doing so, we show that the actual  
130 microstructure of secondary phloem in Malvaceae leads to maximizing the Poisson's ratio.

131

## 132 **Material and Methods**

### 133 Plant material

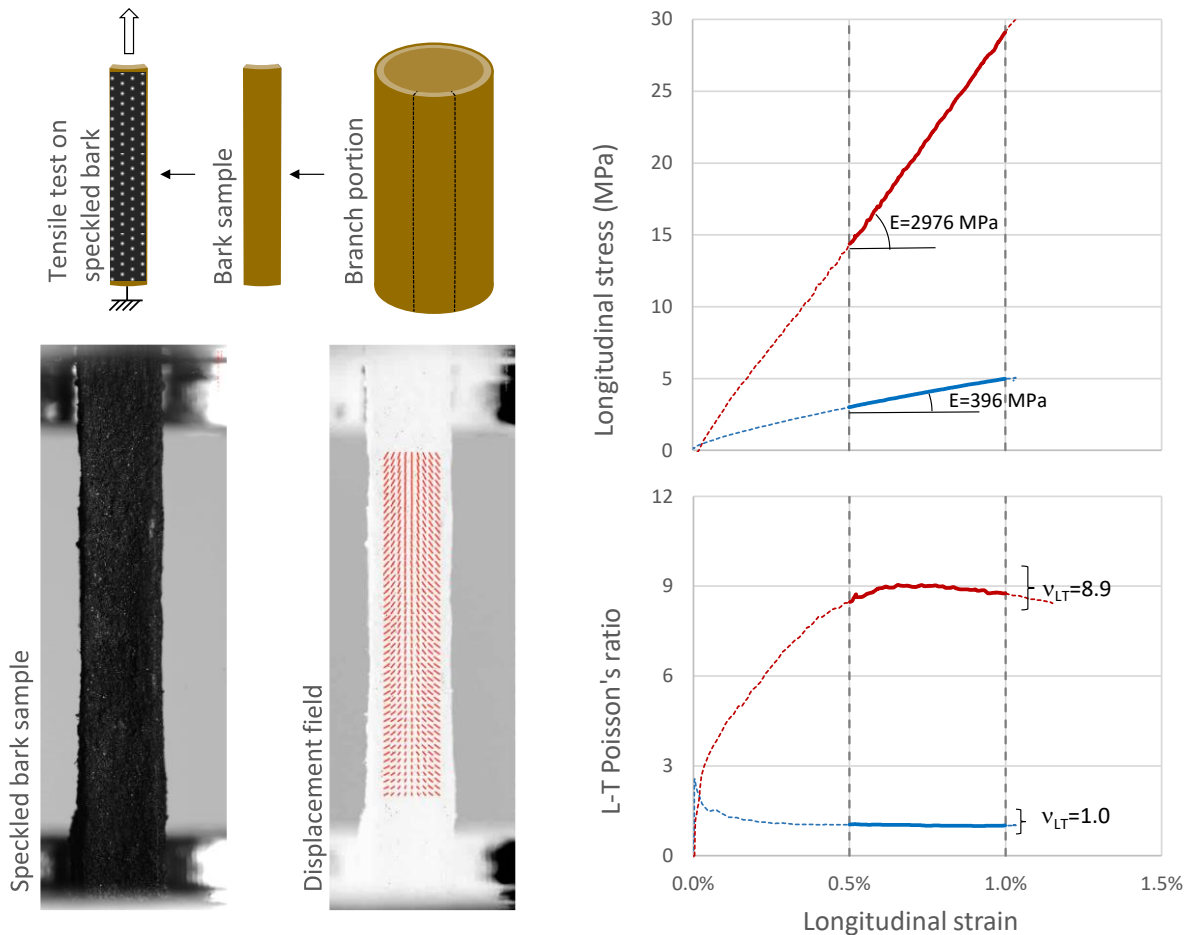
134 Large diversity of tree species and secondary phloem structures was attained by collecting plant  
135 material from the hyperdiverse forest of French Guiana at the Nouragues experimental research  
136 station (4°05'N, 52°40'W). This station has facilities to access to canopy, allowing to collect small  
137 branches on mature identified trees. We sampled 22 species belonging to different botanical families,  
138 among which 4 belonged to the Malvaceae (see Suppl. Mat. Table S1 for the full list of species). For  
139 each species, we collected 5 branches with basal diameter between 1 and 2 cm. For the anatomical

140 study, the branches were cut into a 2 cm long segments kept in 50 % ethanol, while for tensile tests  
141 10 cm long segments were cut and kept immersed in distilled water at 5°C.

#### 142 Secondary phloem's mechanical properties

143 The Young's modulus of secondary phloem and its Poisson's ratio were determined using tensile tests.  
144 They were performed on strips of secondary phloem taken from the branch segments. The strips were  
145 approximately 5 cm long (in the longitudinal direction), 4 mm wide (in the tangential direction) and  
146 between 1 and 2 mm thick (in the radial direction) depending on the specimen (Fig. 2). They were  
147 mounted between the jaws of an MTS 1/ME testing machine equipped with a 500 N load cell, and  
148 stretched at a constant speed of 0.01 mm/s. During the loading process, the outward surface of the  
149 sample was imaged with a digital camera (svs-vistek hr16070MFLGEC) having a CCD of 4864 x 3232  
150 pixels and equipped with a telecentric lens (Myutron LSTL10H-F) with focal length of 113.3 mm. The  
151 distance between the camera and the specimen was 343 mm, and the field of view of the camera was  
152 35.8 x 23.8 mm, yielding images with resolution of 116 pixel/mm.

153 Then the displacement field of the specimen surface was computed by means of a digital image  
154 correlation (DIC) algorithm dedicated to large deformations and inhomogeneous patterns (Vu et al.,  
155 2019). This DIC algorithm computes the displacement field both in the longitudinal and in the  
156 tangential directions over the surface of the specimen as a function of the loading. An illustration of  
157 such displacement field is shown in Fig. 2 for several points located along nine parallel lines. Strain  
158 fields were derived from the displacement fields. For each sample, the mean strain was computed on  
159 a central area of the specimen, excluding the boundaries. The longitudinal elastic modulus was  
160 computed as the slope of the longitudinal stress-strain curve in its linear part (see Fig. 2). The LT  
161 Poisson's ratio was computed as the opposite of ratio between tangential strain and longitudinal  
162 strain. In many instances, the Poisson's ratio appeared strain-dependent (see Fig. 2), even in the linear  
163 domain of the stress-strain curve. In this case, a mean value was computed. In four instances, the  
164 stress-strain curve did not contain a satisfying linear domain. These tests were removed from the  
165 analysis so that the average value of these species was computed on four specimens.



166

167 **Fig. 2.** Method for measuring longitudinal elastic modulus and Poisson's ratio on bark specimens. (Top  
 168 left) a tangential strip of bark is taken from a branch portions and a speckled pattern is applied on it.  
 169 (Bottom left) the specimen is imaged during the tensile test, and the displacement field is computed  
 170 using digital image correlation. (Right) longitudinal and tangential strains are derived from it, and used  
 171 to compute the elastic modulus and Poisson's ratio. Stress-strain curve and Poisson's ratio-strain curve  
 172 are shown for two examples: a specimen with low elastic modulus and Poisson's ratio, and a Malvaceae  
 173 specimen with large elastic modulus of Poisson's ratio.

174 Bark anatomy

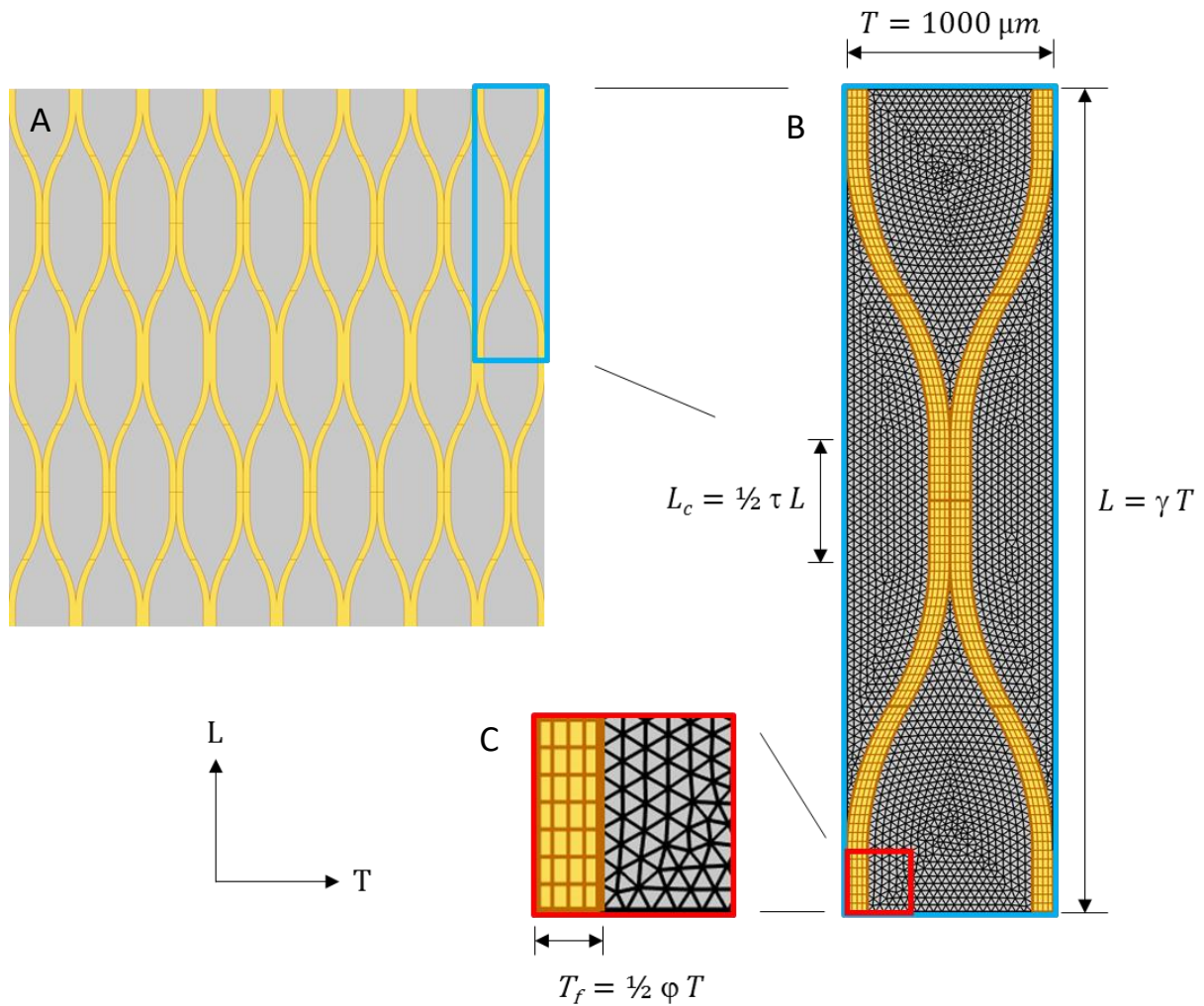
175 The organization of phloem fibers in the transverse and longitudinal-tangential planes of each species  
 176 was described under an optical microscope. For this purpose, thin sections (5-6  $\mu\text{m}$ ) in the transverse  
 177 and LT planes were prepared after embedding the samples in paraffin and staining them with FASGA  
 178 (safranin + Alcian blue). For LT section, several sections from outer bark to cambium were prepared.  
 179 For each species, 3 segments were observed to account for the within-species variability. Quantitative  
 180 measurements, namely the percent fiber content and the aspect ratio, were performed to feed the

181 finite element model (see next section) on 2 Malvaceae species, *L. rugosa* and *Q. spatulata*, with the  
182 image analysis software ImageJ (Schneider et al., 2012).

### 183 Finite element analysis

184 A finite element model was developed using Comsol Multiphysics® software in order to investigate the  
185 way the elastic constants of the secondary phloem are affected by the geometric parameters  
186 characterizing the structure of the fibrous trellis. A periodic microstructure inspired by the trellis  
187 pattern of phloem tissue was devised by considering both parenchyma and fibers (Fig. 3). Assuming  
188 two-directional periodicity in the LT plane of this microstructure, the finite element model was  
189 simplified to a rectangular representative unit cell as described in Figure 3B. The main geometrical  
190 features of this cell were described with a set of three parameters: the fiber volume fraction  $\varphi$ , the  
191 aspect ratio  $\gamma$  of the length of the cell  $L$  over its width  $T$ , and the relative length of fiber lateral  
192 connections  $\tau$  which is the ratio of the total length of joined fibers  $2L_c$  over the length of the cell  $L$ .  
193 Following the conclusions of a preliminary study, parameter  $\tau$  was set at a fixed value of 0.3, while  
194 other parameters were varied during the parametric study. Parameter  $\varphi$  directly indicates the  
195 abundance of fibers relative to parenchyma. The aspect ratio  $\gamma$  indicates the degree of alignment of  
196 fibers: a high aspect ratio corresponds to fibers aligned with the L direction.

197



198

199 **Fig 3.** Finite element model of the unit cell of a trellis microstructure and the corresponding set of  
 200 dimensional parameters. Fibers are colored in yellow and parenchyma tissues in grey. Fiber and  
 201 parenchyma are assumed perfectly bonded. A: abstraction of the geometry of the trellis structure. B:  
 202 unit cell of which the structure is a periodic repetition. C: finite-element mesh. The geometry of the  
 203 trellis is defined by 3 dimensionless parameters: the aspect ratio  $\gamma$ , the fiber fraction  $\phi$  and the  
 204 connection length  $\tau$ .

205 The mechanical behaviors of both parenchyma and fibers were assumed linear and elastic. Fibers were  
 206 considered transversely isotropic, the plane of isotropy being normal to the axis of fibers, and  
 207 parenchyma were considered isotropic. The elastic constants of each material are listed in Table 1.  
 208 Elastic constants of the fibers were set at values consistent with wood properties (Forest Products  
 209 Laboratory, 2010). The modulus of elasticity of parenchyma was defined as the ratio of the longitudinal  
 210 elastic modulus of fibers over  $10^\mu$  where  $\mu$  is the stiffness contrast parameter, that was varied during  
 211 the parametric study.

212 *Table 1: Elastic components of secondary phloem's constituents used in the finite element model*

<i>Elastic components</i>	<i>Fibers</i>	<i>Parenchyma</i>
Tensile modulus	$E_L^f = 20 \text{ GPa}$	$E^p = E_L^f / 10^\mu$
	$E_T^f = E_R^f = 1.6 \text{ GPa}$	
Shear modulus	$G_{LT}^f = 1.8 \text{ GPa}$	$G^p = E^p / (2(1 + \nu^p))$
	$G_{TR}^f = E_T^f / (2(1 + \nu_{TR}^f))$	
Poisson's ratio	$\nu_{LT}^f = 0.45$	$\nu^p = 0.3$
	$\nu_{TR}^f = \nu_{RT}^f = 0.30$	

213

214 The finite element mesh of the unit cell was made of 3D prismatic elements obtained from the  
215 extrusion (depth of  $T/10$ ) of a 2D mesh consisting of quadrangular and triangular elements as  
216 illustrated in Figure 3C. A mesh convergence study has been carried out leading to a maximum element  
217 size of  $L/100$ , resulting in a 11,040 elements mesh.

218 In order to assess the average elastic properties of the homogenized material over the large repetitive  
219 structure of the trellis, cell periodicity conditions were implemented. It consists in creating three  
220 periodic pairs of boundary conditions on the outer boundaries of the unit cell (one for each space  
221 direction in the frame of reference). The boundary conditions are governed by the following  
222 displacement continuity relation:  $u_{dst} - u_{src} = \dot{\epsilon}(X_{dst} - X_{src})$ . In this relation,  $u$ ,  $X$  and  $\dot{\epsilon} =$   
223  $(\int_V \epsilon dV)/V$  are respectively the displacement field, the coordinates and the average strain of the  
224 source (*src*) and destination (*dst*) boundaries. For each pair, the representative volume element is  
225 subjected to a unit strain in a given direction of the frame of reference while the other strain  
226 components of the pair are kept equal to zero. Then the average stress  $\dot{\sigma} = (\int_V \sigma dV)/V$  is evaluated  
227 allowing the determination of the elasticity tensor  $\dot{C}$  for the equivalent homogenized material with  
228 respect to the relation  $\dot{\sigma} = \dot{C} \cdot \dot{\epsilon}$ .

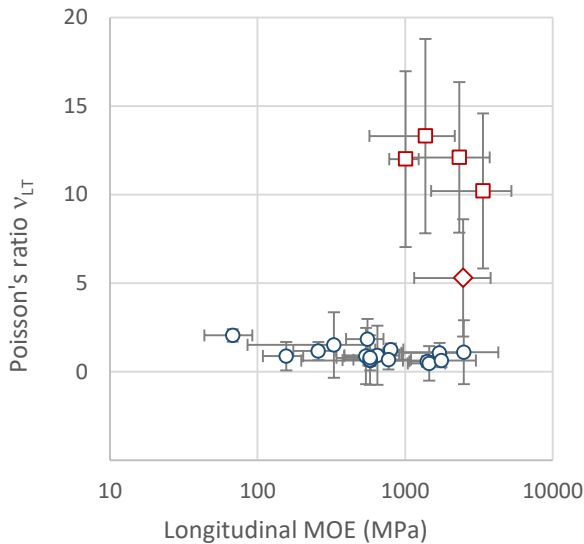
229 The behavior of the homogenized material was also compared to the behavior of a composite made  
230 of parallel fibers (without lateral connections), for which  $E_L$  corresponds to the Voigt bound (parallel  
231 association between fibers and parenchyma) and  $E_T$  corresponds to the Reuss bound (series  
232 association between fibers and parenchyma).

233 For the parametric study of the model, the fiber volume fraction  $\varphi$  and the aspect ratio  $\gamma$  were varied  
234 between 0.1 and 0.9 and between 1 and 20, respectively. The relative length of fiber lateral  
235 connections  $\tau$  and elastic constants of the fibers were kept at fixed values. The stiffness contrast  
236 parameter  $\mu$  was varied between 1 and 6.

237 **Results**

238

239 **Mechanical properties**



240

241 **Fig 4.** Mean elastic properties of the secondary phloem: Poisson's ratio  $\nu_{LT}$ , as a function of the  
242 longitudinal modulus of elasticity,  $E_L$  for different species. For each species data are averaged over 5  
243 specimens. The standard deviations are given for both  $\nu_{LT}$  and  $E_L$  by grey vertical and horizontal lines,  
244 respectively. The red squares specifically show the species from the Malvaceae family. The red diamond  
245 is the Annonaceae species. X-axis is in logarithmic scales.

246 The stress-strain curves and Poisson's ratio-strain curves of all specimens are provided as  
247 supplementary material (see suppl. mat. Fig. S4). Figure 4 summarizes the results for all studied species  
248 (mean Poisson's ratio, mean modulus of elasticity and their standard deviation). For most species, the  
249 Poisson's ratio  $\nu_{LT}$  ranges between 0.5 and 2. For the species from the Malvaceae family, the Poisson's  
250 ratio is one order of magnitude higher, ranging between 10 and 13. One species which does not belong  
251 to the Malvaceae family (*U. rufescens*, Annonaceae) also has a high Poisson's ratio (value near 5,  
252 indicated by a red diamond). This family is anatomically and functionally similar to Malvaceae, with a  
253 similar secondary phloem consisting of a fiber trellis structure (Fig. 5) and a similar reaction wood made  
254 of parenchyma cells (Ghislain et al., 2019b).

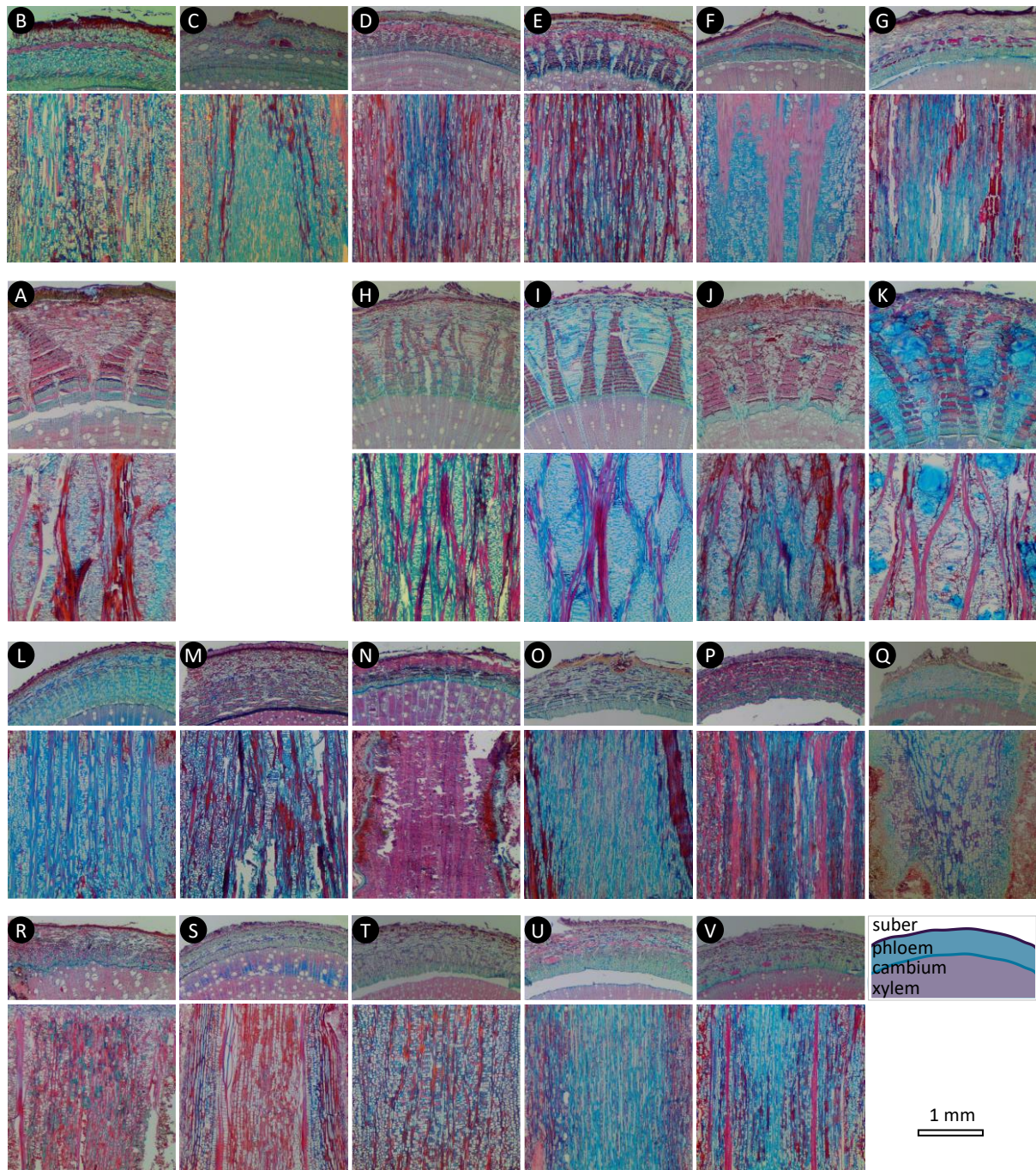
255 The elastic modulus of secondary phloem in its longitudinal direction spans over more than one order  
256 of magnitude, ranging from 70 MPa to more than 3000 MPa. Within-species variability is rather high,  
257 but small compared to between-species variability ( $R^2=0.59$ ,  $p<10^{-6}$ ). Species from the Malvaceae  
258 family, as well as *U. rufescens* (Annonaceae), are among the highest elastic moduli (between 1000 MPa  
259 and 3000 MPa). This shows that their high Poisson's ratios are associated with high elastic moduli.

260 However, the reciprocal is not true, i.e. high elastic moduli are not always associated to high Poisson's  
261 ratio (five species have elastic modulus larger than 1000 MPa but Poisson's ratio lower than 2, Fig. 4).

262

### 263 **Anatomy**

264 Transverse sections show the layered organization of bark, with secondary phloem on the inner side  
265 and suber on the outer side. The thickness of secondary phloem varies between species, but is always  
266 much larger than the suber layer. The analysis of the anatomical sections showed that all species with  
267 a Poisson's ratio higher than 5 exhibited a typical organization of fibers in their inner bark (Fig. 5-  
268 A,H,I,J,K). Namely, in the transverse section, flame-shaped areas of fibers are separated by dilatation  
269 parenchyma. In the L-T section, large fiber bundles are undulating and are physically connected in the  
270 tangential direction, forming a typical "trellis" structure, the space between them being filled with  
271 dilated parenchyma (Angyalossy et al., 2016; Böhlmann, 1971; Zajączkowska and Kozakiewicz, 2016).  
272 This was the case for the 4 species of the Malvaceae family (Fig. 5-H,I,J,K) as well as for the Annonaceae  
273 (Fig. 5-A). In the other species, fibers were either present (*P. apiculatum*, *C. aromatica*, *E. falcata*, *T.*  
274 *surinamensis*, *V. michelii*, *E. coffeifolia*, *L. crenata*, *H. alchorneoides*) or absent (*L. aculeata*, *I. fastuosa*,  
275 *S. polyphylla*, *C. surinamensis*, *N. guianensis*, *N. floribunda*, *S. acreanum*, *L. procera*, *C. argenteum*), but  
276 when present they were not organized as a trellis, except for one species (*E. falcata*, Fig. 5-E) which  
277 had fibers laterally connected (consistently with Lehnebach et al., 2020) although its Poisson's ratio  
278 was found lower than 5. Interestingly, this specie also exhibits a band of heavily lignified sclerites  
279 (suppl. mat. Fig. S5), which is absent in Malvaeae and Annonaceae. This feature very likely impedes  
280 tangential strains and thus limits the Poisson's effect.



281

282 **Fig. 5.** Cross sections (top) and longitudinal-tangential sections (bottom) of studied species. Cross  
 283 sections were performed on branch segments including wood. Bark (phloem + suber) is presented on  
 284 the upper side of the images and xylem on the lower side. (A) *Unonopsis rufescens* (Annonaceae); (B)  
 285 *Lacmellea aculeata* (Apocynaceae); (C) *Protium apiculatum* (Burseraceae); (D) *Crudia aromatica*  
 286 (Fabaceae); (E) *Eperua falcata* (Fabaceae); (F) *Inga fastuosa* (Fabaceae); (G) *Swartzia polyphylla*  
 287 (Fabaceae); (H) *Lueheopsis rugosa* (Malvaceae); (I) *Quararibea spatulata* (Malvaceae); (J) *Sterculia*  
 288 *frondosa* (Malvaceae); (K) *Sterculia villifera* (Malvaceae); (L) *Carapa surinamensis* (Meliaceae); (M)  
 289 *Trichilia surinamensis* (Meliaceae); (N) *Naucleopsis guianensis* (Moraceae); (O) *Virola michelii*

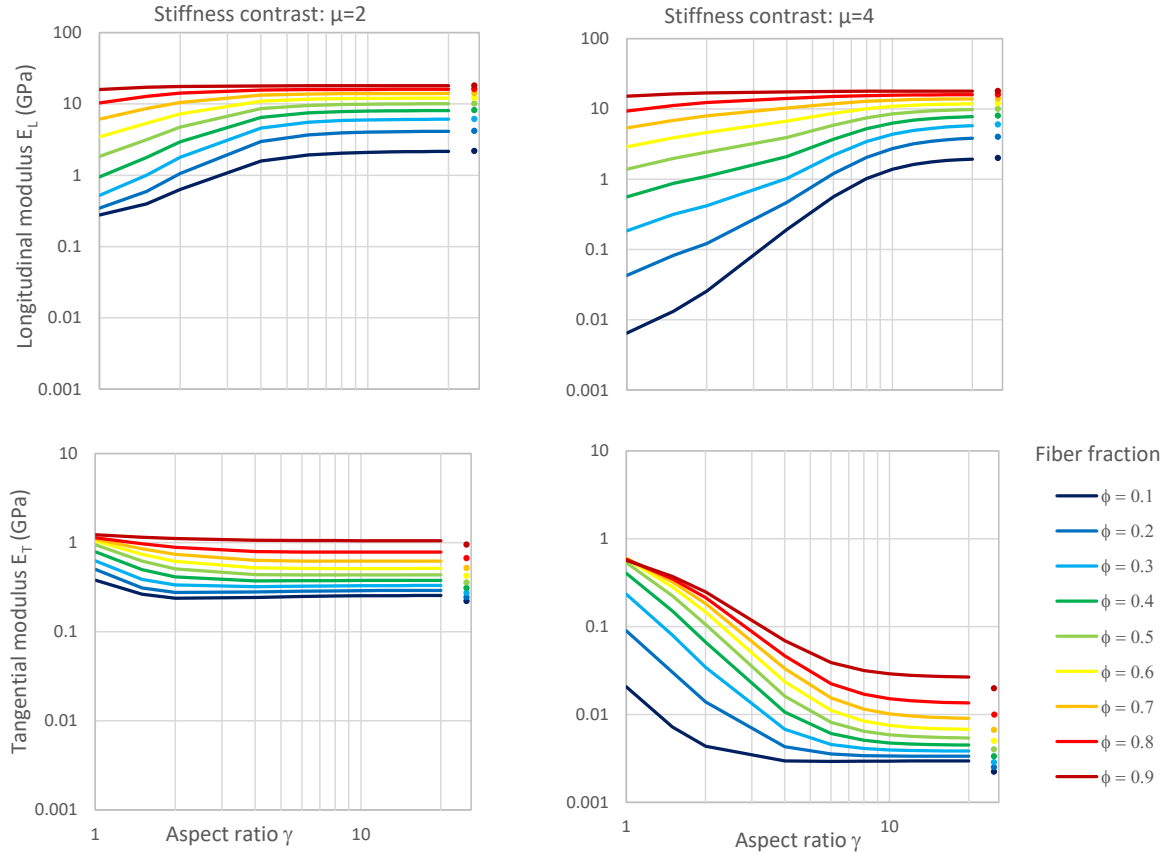
290 (Myristicaceae); (P) *Eugenia coffeifolia* (Myrtaceae); (Q) *Neea floribunda* (Nyctaginaceae); (R)  
291 *Lacunaria crenata* (Ochnaceae); (S) *Hieronyma alchorneoides* (Phyllanthaceae); (T) *Stenostomum*  
292 *acranum* (Rubiaceae); (U) *Laetia procera* (Salicaceae); (V) *Chrysophyllum argenteum* (Sapotaceae).  
293 Scale bar = 1 mm.

294 The quantitative analysis of the anatomical sections was made difficult by the heterogeneity of the  
295 structure of the secondary phloem. However, for two species (*L. rugosa* and *Q. spatulata*), the quality  
296 of the microscopic sections allowed quantitative estimations of the geometric parameters  
297 characterizing the trellis, which were then used as inputs in the finite-element model. The mean fiber  
298 content was estimated as 34 % (range 31 %-37 %) for *L. rugosa* and 28 % (range 23 %-36 %) for *Q.*  
299 *spatulata*. The mean aspect ratio of the elementary patterns (as defined in section “finite element  
300 analysis”) was estimated as 6.4 (range 5.2-7.4) for *L. rugosa* and 3.8 (range 2.9-4.6) for *Q. spatulata*.  
301 Visual assessment of the other sections showed that these parameters were within the same range for  
302 the other Malvaceae species.

303

#### 304 **Parametric study of elastic constants**

305 The finite element model allows to compute how the elastic constants of secondary phloem depend  
306 on the geometrical parameters characterizing the structure of the fibrous trellis. Results for  $E_L$  and  $E_T$   
307 are shown in figure 6. Each figure shows how an elastic constant varies as a function of the fiber  
308 fraction  $\varphi$  and the aspect ratio  $\gamma$ , for a given value of the stiffness contrast parameter  $\mu$ . Results are  
309 illustrated for two contrasted values of  $\mu$  (results for other values of  $\mu$  are provided as supplementary  
310 material Figs. S1 and S2).



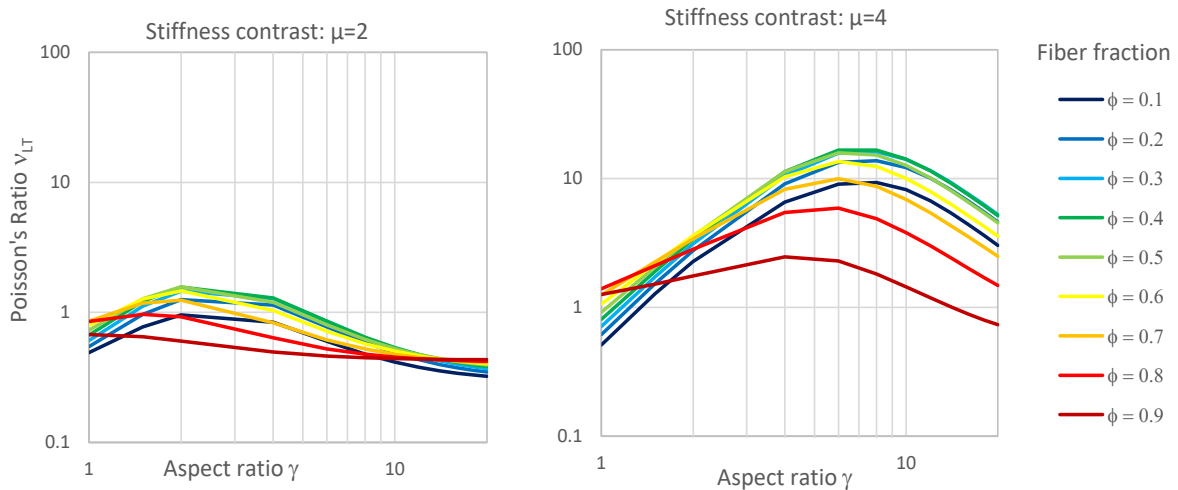
311

312 **Fig. 6.** Parametric finite element modelling of the modulus of elasticity as function of the aspect ratio  
 313  $\gamma$  for several values of fiber fraction  $\phi$ , for two different values of the stiffness contrast parameter  $\mu$   
 314 (results for other values of  $\mu$  are provided as supplementary material Fig. S1 and S2). Top: longitudinal  
 315 modulus  $E_L$ , dots are the results obtained using a parallel model (Voigt's bound). Bottom: tangential  
 316 modulus  $E_T$ , dots are the results obtained using a series model (Reuss's bound).

317 As expected,  $E_L$  always increases as a function of the fiber fraction  $\phi$ , because fibers are several orders  
 318 of magnitude stiffer than parenchyma.  $E_L$  decreases as a function of the stiffness contrast parameter  
 319  $\mu$  consistently with the fact that the elastic modulus of parenchyma decreases with  $\mu$ .  $E_L$  increases as  
 320 a function of the aspect ratio  $\gamma$ , because  $\gamma$  determines the degree of alignment of fibers in the  
 321 longitudinal direction (a higher aspect ratio makes the fibers straighter). When the fiber fraction  $\phi$  is  
 322 high,  $E_L$  is weakly sensitive to variations of  $\gamma$  and  $\mu$ , and tends towards the elastic modulus of fibers.  
 323 The lower the fiber fraction  $\phi$ , the more  $E_L$  is sensitive to the stiffness contrast parameter  $\mu$  and the  
 324 aspect ratio  $\gamma$ . For high values of the aspect ratio  $\gamma$ , the elastic modulus of secondary phloem  $E_L$  tends  
 325 towards the Voigt bound, i.e. the value obtained if fibers and parenchyma are organized in parallel  
 326 (not laterally connected). In this case,  $E_L$  is close to a simple rule of mixture of the elastic moduli of the  
 327 components:  $E_L = \phi E_L^f + (1 - \phi) E^p$ . As the elastic modulus of parenchyma is several orders of

328 magnitude lower than that of fibers, the contribution from parenchyma is always negligible, so that  $E_L$   
 329 mainly depends on  $\varphi$  and its sensitivity to  $\mu$  is very low (see Suppl. Mat. Fig. S1).

330 Results for the tangential elastic modulus  $E_T$  are shown in figure 6 (bottom) and in suppl. mat. (Fig.  
 331 S2). Just as for  $E_L$ ,  $E_T$  expectedly increases as a function of the fiber fraction  $\varphi$  and decreases as a  
 332 function of the stiffness contrast parameter  $\mu$ . Contrary to  $E_L$ ,  $E_T$  decreases as a function of the aspect  
 333 ratio  $\gamma$ . Since  $\gamma$  determines the alignment of fibers with the longitudinal direction, low values of  $\gamma$   
 334 correspond to fibers oriented more diagonally and therefore more efficient in reinforcing  $E_T$ . The value  
 335 of  $E_T$  is very sensitive to the stiffness contrast parameter  $\mu$ , much more than for  $E_L$ . Thus, the behavior  
 336 of the secondary phloem in the tangential direction strongly depends on the properties of the  
 337 parenchyma. The association between fibers and parenchyma is closer from the Reuss's bound in this  
 338 direction, i.e. a "series" model, where  $1/E_T = \varphi/E_T^f + (1 - \varphi)/E^p$ . Hence, the behavior of the most  
 339 compliant component is dominating. However, even for higher values of  $\gamma$ ,  $E_T$  is significantly higher  
 340 than predicted by the series model. This means that the lateral connections between fibers efficiently  
 341 reinforce the tangential direction, even when fibers orientation is close to the longitudinal direction.



342  
 343 **Fig. 7.** Parametric finite element modelling of the Poisson's ratio  $\nu_{LT}$  as a function of the aspect ratio  $\gamma$   
 344 for several values of fiber fraction  $\varphi$ , for two different values of the stiffness contrast parameter  $\mu$   
 345 (results for other values of  $\mu$  are provided as supplementary material Fig. S3).

346 Results of the Poisson's ratio  $\nu_{LT}$  are shown in figure 7 (top) and in suppl. mat. (Fig. S3). Variations of  
 347 the Poisson's ratio as a function of the geometrical parameters are non-trivial and non-monotonous.  
 348  $\nu_{LT}$  presents an optimum both in  $\gamma$  and  $\varphi$ . The magnitude of the Poisson's ratio  $\nu_{LT}$  is very sensitive to  
 349 the value of the stiffness contrast parameter  $\mu$ : high values of the Poisson's ratio are obtained when  $\mu$   
 350 is large, i.e. when the parenchyma is compliant. For  $\mu = 4$ , the maximal value of the Poisson's ratio is  
 351 16 and is obtained when  $\gamma = 6$  and  $\varphi = 0.4$ . These values can be compared to the experimental data,

352 were  $\nu_{LT}$  ranges between 10 and 13,  $\gamma$  between 3 and 7 and  $\varphi$  between 0.2 and 0.4. Finite element  
353 modeling validates the experimental measurement and evidences the ability of such trellis structure  
354 to produce unexpectedly high Poisson's ratios. These values of Poisson's ratios and geometrical  
355 parameters are similar to the experimental values and suggest that  $\mu = 4$  is a good estimation of actual  
356 stiffness contrast parameter. Moreover, for this value of  $\mu$  and for experimental values of the  
357 geometrical parameter, a good agreement is also obtained between the computed longitudinal elastic  
358 modulus (ranging between 500 MPa and 3000 MPa) and its experimental range of variation (between  
359 1000 and 3000 MPa).

360

## 361 Discussion

362 The Poisson's ratio values found in our experimental study on inner barks from the Malvaceae family  
363 are extraordinarily high, ranging from 10 to 13. In comparison, for isotropic materials (i.e. materials  
364 with the same properties in all directions, such as for instance glass, metals, rubber...) this parameter  
365 is physically limited to 0.5, and most of the materials are below this limit (Greaves et al., 2011).  
366 However, this physical limit does not hold for anisotropic materials, where larger values are frequently  
367 reported. For instance, Lethbridge et al. (2010) reported extreme values up to 10 for some crystalline  
368 materials. Although plant tissues are often anisotropic, it has never been reported such high values for  
369 their constitutive materials. Poisson's ratios have been measured to be approximately 0.5 for cork  
370 (Ashby and Gibson, 1997), 0.35 for onion epidermal tissues (Kim et al., 2015) and between 0.1 and 0.5  
371 for inner tissues of different plant organs (Hejnowicz and Sievers, 1995). Even in a highly anisotropic  
372 material like wood, the typical Poisson's ratio ranges between 0.3 and 0.6 depending on the species  
373 (Ando et al., 2018; Ashby and Gibson, 1997; Forest Products Laboratory, 2010). For outer tissues of  
374 different plant organs, the largest values reported in the literature range between 0.5 and 2 (Hejnowicz  
375 and Sievers, 1995), consistently with our results on secondary phloem of non-Malvaceae species. Much  
376 larger values can be found in fiber-based man-made materials, up to 13 for the apparent Poisson's  
377 ratio of yarns (Takatera et al., 2017; Wang et al., 2018) and 14 for laminate composites (Peel, 2005).  
378 To the best of our knowledge, this is the highest value measured so far in a natural material.

379 Theoretical studies show that the Poisson's ratio tends towards an infinite value for materials such as  
380 honeycombs (Masters and Evans, 1996) or laminate composites (Peel, 2005) with specific  
381 microstructures. For honeycombs, this happens when the microstructure is made of flat hexagons  
382 (Masters and Evans, 1996). This latter architecture is very close from the phloem fiber organization in  
383 Malvaceae as studied in the present work (Fig. 5). For laminate composites, Peel (2005) showed that  
384 high Poisson's ratio values can be obtained for large stiffness contrast between the matrix and the  
385 fibers. Our finite element simulations show that this large stiffness contrast is also a necessary  
386 condition to obtain a high Poisson's ratio for the type of microstructure we studied. In laminate  
387 composites, the Poisson's ratio depends also on the ply orientation with respect to the frame of  
388 reference. This dependence is non-monotonous so that there is an optimal angle at which the Poisson's  
389 ratio is maximized. This optimal angle is lower when the stiffness contrast parameter is higher (Peel,  
390 2005). In our study, we evidenced analogous results regarding the dependence of the Poisson's ratio  
391 to the stiffness contrast and the fiber aspect ratio.

392 In the inner bark, our simulations show that reaching a high Poisson's ratio requires compliant  
393 parenchyma. An elastic moduli ratio between parenchyma and fibers close to  $10^{-4}$  is necessary to reach

394 the value observed in Malvaceae species. This implies that the order of magnitude of the elastic  
395 modulus of parenchyma should be of about few MPa. This is consistent with values reported for apple  
396 parenchyma (Videcoq et al., 2017), and in the lower range of values usually reported for parenchyma  
397 (Niklas, 1992). By assuming a value of  $10^{-4}$  for the stiffness contrast parameter, we obtained a close  
398 agreement between simulations and experiments, i.e. elastic properties simulated for the measured  
399 geometric parameters were consistent with experimentally measured longitudinal elastic modulus and  
400 longitudinal-tangential Poisson's ratio. Actually, a better agreement could hardly be expected because  
401 (1) the geometry we assumed is an abstraction of the real geometry of the fiber network (compare  
402 Fig. 3 and 5), and (2), the elastic properties of fibers were set at a reasonable value rather than directly  
403 measured. This agreement confirms the ability of the phloem composite material to produce  
404 exceptionally high Poisson's ratio thanks to the lateral connections between the fibers. As shown by  
405 the parametric study and the comparison with the Voigt and Reuss bounds (Fig. 6), these lateral  
406 connections only slightly reduce the longitudinal elastic modulus, but markedly increase the tangential  
407 elastic modulus. The estimation of the tangential elastic modulus is an interesting byproduct of the  
408 simulations, since direct measurement of this properties is technically challenging. Indeed, tangential  
409 strips of bark are curved, so that tensile tests are not directly feasible on this material.

410 In conclusion, our experimental measurements showed that Malvaceae secondary phloem has  
411 extremely high Poisson's ratio values, much larger than for other botanical families, and thus much  
412 over the highest values ever reported for a natural material. We modeled its microstructure and  
413 showed that a material comprised of a mesh of undulating fibers laterally connected inside a matrix of  
414 a much softer material, can indeed lead to such high Poisson's ratio. We studied the dependence of  
415 the elastic modulus and Poisson's ratio to variations of the geometric parameters characterizing the  
416 fiber network (fiber content and aspect ratio of an elementary volume), and predicted that, contrary  
417 to the elastic modulus, the Poisson's ratio presented an optimum with respect to these geometrical  
418 parameters. Comparison with geometric parameters evaluated experimentally showed that the real  
419 microstructure of Malvaceae secondary phloem is found to be close to the predicted optimal  
420 geometry, and its Poisson's ratio is close to that predicted by the model. The longitudinal-tangential  
421 Poisson's ratio is the parameter directly controlling the conversion of the radial stress imposed by  
422 growing cambial tissues into a longitudinal stress in secondary phloem. We infer that Malvaceae  
423 secondary phloem is designed to maximize the longitudinal stress indirectly generated by cambial  
424 growth. This longitudinal stress in secondary phloem is at the origin of posture control in this family:  
425 when asymmetrically distributed around the periphery because of eccentric radial growth, it generates  
426 a bending moment that changes stem curvature and/or counteracts the bending moment generated  
427 by the increase in stem mass. The motor power of this mechanism is provided by cambial growth

428 pressure, which is directly related to turgor pressure (Lockhart, 1965). Two kinds of motors of postural  
429 control are usually considered in plants (Moullia et al. 2006): those based on turgor pressure and  
430 differential growth and those based on the physical-chemical changes occurring in wood cells walls  
431 during their maturation. The formers are usually found in herbaceous stems and non-lignified organs,  
432 while the latter are found in lignified stems for which larger mechanical stress are needed. Here we  
433 found a third kind of mechanism, based on turgor pressure and differential growth of the cambial  
434 tissues, but suitable for bending lignified stems. This is made possible by the smart organization of  
435 secondary phloem, yielding a very high Poisson's ratio so that the intensity of longitudinal stress  
436 generated by the growth pressure is larger than the growth pressure itself. This mechanism of posture  
437 control differs from that of most other woody species, in which wood maturation is the motor of  
438 posture control (Alméras and Clair, 2016; Alméras and Fournier, 2009). Our previous experimental  
439 works (Ghislain et al., 2019a) showed that these two mechanisms have similar efficiency in this  
440 function for young stems. Our previous theoretical works (Alméras and Fournier, 2009) showed that,  
441 for the mechanisms based on wood maturation, the performance of the motor function tends to  
442 decrease with stem size. Further studies should investigate how the performance of the mechanism  
443 based on secondary phloem changes with stem size, to better understand and compare the adaptive  
444 value of these two divergent mechanisms.

445

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454

#### 455 **Authors contributions**

456 TA and BC initiated and supervised the study.

457 TA, AB, BC and RL sampled the plant material.

458 AB, JB and RL carried out the mechanical measurements.

459 SC and AR did the finite-element modeling and simulations.

460 TA wrote initial draft. All authors contributed to analyze the data and write the manuscript.  
461 All the authors reviewed and edited the paper and approved the final version.

462

### 463 **Data availability**

464 Data are available at the following URL: <https://doi.org/10.5281/zenodo.6673634>

465

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